Influence of the pre-treatment of nanofibers obtained from mushrooms on the mechanical properties of the paper*

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Abstract: The influence of the pre-treatment process (freezing, drying) on the tensile properties of chitin paper obtained from nanofibers of three commercial species of fungi: oyster mushrooms (P. ostreatus), enoki (F. velutipes) and shiitake (L. edodes) was investigated. The chitin nanofibers were extracted by a mild alkaline process. The highest tensile strength was observed for paper obtained from fresh mushrooms fibers, which may result from the lack of the chitin fiber modification. Freezing and drying processes have been found to reduce the strength of the paper, possibly due to ice crystal formation and the keratinization effect of the nanofibers, respectively. The paper obtained from enoki fungus nanofibers was characterized by the highest tensile strength, which may be due to the very long fiber. However, in terms of elongation at break, the best results were obtained with oyster mushrooms nanofibers, probably due to the relatively shorter chitin fiber. The long enoki nanofibers can therefore be used as a good reinforcement of the paper.

Keywords: chitin nanofiber, chitin paper, fungal-based chitin.

Research in chitin field had attracted many researcher by the idea of in finding an alternative from waste (crustacean shell) bio-based nanofiber for biodegradable polymer [1]. Chitin is a polysaccharide fiber with a subunit of glucosamine and an acetyl group attached to the amine group which can be extracted from natural resources, including crustacean shells and fungi cell walls [2]. The extraction of chitin from fungal cell walls has become an emerging topic nowadays, since the acid treatment can be bypassed due to an insignificant amount of minerals [3]. Although demineralization is not necessary for fungal chitin extraction, in 2011, Ifuku and coworkers still included acid treatment (2 M HCl for two days at room temperature) in fungal chitin extraction from five different mushrooms species [4]. Erdogan, Kaya [5] also treated the mushrooms with 2 M HCl but only for 15 h at 60°C. Later, in 2018, Hassainia and his team extracted A. bisporus mushroom without a demineralization process. Nawawi, Lee [6] also proved that extracting chitin from A. bisporus mushroom using a mild condition with-
out demineralization is sufficient for fungal chitin extraction. Indeed, it recorded a higher chitin yield. Recent studies on fungal chitin also bypass the demineralization step in the extraction process [7, 8].

Moreover, the nature of the fungal chitin structure that is covalently linked with alkali-insoluble glucan contributes to better mechanical performance compared to that of chitin from crustacean [6]. The covalent interaction between chitin and glucan has been proven by chemical hydrolysis and enzymatic digestion [9, 10], disruption of the gene [11] and solid-state NMR [12]. The idea for the first two approaches is to change the solubility characteristics of glucan by degrading the chitin-glucan structure. The association of glucan with chitin makes it insoluble in alkali [13]. When this alkali-insoluble fraction is treated with glucan degrading enzyme (glucanase), about 16% of glucan remains in the insoluble form [14]. However, when the same fraction is treated with chitinase (chitin degrading enzyme), the glucan is completely dissolved in an alkali [10, 11]. These results proved the crosslink interaction between chitin and glucan in fungal source.

In previous studies, fungal chitin from mushrooms was used for the fabrication of chitin paper [6, 15, 16]. Typically, this chitin paper was studied for the mechanical properties to widen its applications such as in composites and water treatment. In water treatment, chitin paper can be used as a filtration membrane. Yousefi, Jones [17] explored the possibility of using fungal chitin paper from *A. bisporus* as an ultrafiltration membrane for aqueous solutions and organic solvents.

Previous studies showed potential applications of fungal chitin from fresh mushrooms. But, compared to crustacean shell, the commercialization purpose for chitin from mushrooms might be difficult since fresh mushrooms are easily spoilt due to high water content. The high water content in mushrooms promotes the microbial growth which would degrade the mushrooms. Thus, to enhance their shelf life, preservation techniques such as drying and freezing are applied to the mushrooms. Drying is the traditional way to preserve mushrooms by preventing the growth of microorganisms and reducing moisture-mediated reactions [18]. In the ancient times, drying through natural air convection or sunlight radiation were mostly pioneered for food preservation. Dried food products, as a result, has significantly reduced water content compared to their fresh conditions which is useful for food storage, packaging and transport [19]. On the other hand, a low temperature could also weaken enzymatic and microbial activities on the mushrooms [20]. Since these preservation techniques might affect the quality of mushrooms, therefore, the mechanical properties of extracted fungal chitin-related structures such as paper might also be affected.

Therefore, the effect of different pre-treatment processes i.e. freezing, drying and no pre-treatment (or fresh extraction) on the mechanical properties of chitin papers derived from three different mushroom species (oyster mushroom *Pleurotus ostreatus*, enoki mushroom *Flammulina velutipes*, and shiitake mushroom *Lentinula edodes*) were investigated. This study used mild extraction process which does not require acid solutions for demineralization purposes unlike the method used for crustacean shells. The physical characterization is conducted by analyzing the mechanical properties data as suggested by Chen, Sun [21].

**EXPERIMENTAL PART**

**Materials**

Three different mushroom species; enoki (*F. velutipes*), oyster (*P. ostreatus*) and shiitake (*L. edodes*) were purchased from local supermarket. Sodium hydroxide (Merck, pellet) was used for chitin extraction.

**Pre-treatment of mushrooms**

The initial dry weight of total mushrooms used for extraction was 30 g. Based on dry weight % of the mushrooms (shiitake ~ 20%, oyster and enoki ~ 10%), the total weight of mushrooms used were 150 g for shiitake and 300 g for both oyster and enoki mushrooms (Equation 1).

\[
\text{Total weight of mushrooms used} = 30 \text{ g / dry weight % of mushrooms} \quad (1)
\]

The mushrooms were pre-treated differently and accordingly (freezing and drying). The fresh mushrooms without any pretreatment were used for control. The fresh mushrooms were stored at 4°C and should be used within three days to prevent spoilage. For freezing pre-treatment, the mushroom were frozen at -20°C for at least 24 h while for drying pre-treatment, the mushrooms were dried in an oven at 60°C for 24 h and finely ground in a grinder (150 µm particle size). Based on the ratio of mushrooms dry weight to the total solution volume of 1:50, 1.5 L total solution was required during extraction process.

**Chitin nanofiber extraction**

Prior to extracting, the untreated fresh mushrooms and the frozen sample were washed with tap water for three times and rinsed with distilled water. Next, the mushrooms were blended for 5 min in the high-speed blending mixer (Vita Mixer Innofood, SX766). For the dried sample, the washing step was not necessary. Distilled water was added to the blended mushrooms (or mushroom powder for dried sample) until the total volume was 1.5 L. The extraction process started with hot water extraction (85°C, 1 h) in stirring conditions using magnetic hot plate (IKA®, G-MAG HS 7) to remove water-soluble glucans. The extract was then filtered using white cotton filter cloth (EBC082, Nagoya Textile) and vacuum
pump (VALUE, VE 115N). The filtration cake obtained was soaked and stirred in an alkaline solution (1 M NaOH, 65°C, 3 h) with a total volume of 1.5 L to remove protein matrix encapsulating the chitin nanofibers and alkali-soluble glucans. Then, it was neutralized with excess water until the universal test paper indicated pH 7 before being further rinsed with distilled water. The neutralized chitin was suspended with distilled water (0.7% w/v) and dispersed homogeneously by stirring for 30 min at room temperature before 5 min final blending.

**Preparation of chitin paper**

The required volume of 0.7% (w/v) chitin suspensions from each sample were calculated using Equation 2 so that the final paper specifications (grammage – 80 gsm; diameter – 110 mm, thickness – 0.09 ±0.01 mm) were achieved.

\[
V_{\text{suspension}} = (\text{Area of paper}) \cdot (80 \text{ gsm}) / 0.7\% \quad (2)
\]

The required volume of 0.7% (w/v) chitin suspensions were filtered through cellulose filter paper (Sartorius, 1288) by a vacuum pump (GAST (USA), Lab Models) for an hour. The filter cake was peeled off and pressed between blotting paper before pre-drying in the oven at 120°C for 5 min to remove excess of water. Then, the sample was dried in the oven at 120°C for an hour under 5 kg weight after exchanging the blotting paper with the new one. To prevent shrinkage, the sample was left overnight at room temperature under 5 kg weight.

**Mechanical properties of chitin paper**

The mechanical properties of paper for all samples were determined using a universal tensile machine (Shimadzu AGS-X) based on ASTM D828-97 (1 mm/min crosshead speed, 30 mm gauge length and 2 bar grip pressure). The dimension of the samples was 60 × 10 mm. Prior to the test, the gripping zone of the samples was secured with cardboards using epoxy (Araldite® Standard). The test was repeated five times.

**RESULTS AND DISCUSSION**

**Chitin extraction process**

The extraction of chitin in this study was performed using mild extraction process to preserve the quality of chitin fibers. Acid treatment is known to degrade chitin chain [22]. Therefore, since mushrooms contain an insignificant amount of minerals [3], acid treatment could be bypassed. On the other hand, peroxide or chlorinated bleaching was not carried out in this study because it might cause a depolymerization effect on biopolymer chain [23]. Fungal chitin, which is covalently linked with branched glucan polymer, is more prone to chemical attacks during the decolorization process. Chitin-glucan structure in fungal chitin is known to improve the toughness and strength of papers [6]. Thus, to maintain this chitin-glucan structure, no bleaching treatment was conducted throughout the study. Figure 1 shows chitin papers without bleaching treatment. The brownish color

![Fig 1. Chitin paper from: a) enoki, b) oyster, c) shiitake mushrooms without bleaching treatment](image)

**Table 1. Selected mechanical properties of chitin paper from fungi species with different pre-treatment processes**

<table>
<thead>
<tr>
<th>Pre-treatment</th>
<th>( \sigma_T ), MPa</th>
<th>( \varepsilon_T ), %</th>
<th>( E ), GPa</th>
<th>( U_r ), MJ/m²</th>
<th>Species</th>
</tr>
</thead>
<tbody>
<tr>
<td>No treatment</td>
<td>48.3±6.0</td>
<td>5.1±1.1</td>
<td>2.65±0.56</td>
<td>1.8±0.5</td>
<td>Oyster</td>
</tr>
<tr>
<td></td>
<td>67.0±3.2</td>
<td>3.5±0.6</td>
<td>4.44±0.31</td>
<td>1.6±0.4</td>
<td>Enoki</td>
</tr>
<tr>
<td></td>
<td>55.4±2.5</td>
<td>2.9±0.3</td>
<td>3.73±0.34</td>
<td>1.0±0.2</td>
<td>Shiitake</td>
</tr>
<tr>
<td>Freezing</td>
<td>45.6±1.3</td>
<td>6.2±0.9</td>
<td>2.33±0.11</td>
<td>2.2±0.4</td>
<td>Oyster</td>
</tr>
<tr>
<td></td>
<td>51.0±2.0</td>
<td>4.1±0.7</td>
<td>2.83±0.05</td>
<td>1.4±0.3</td>
<td>Enoki</td>
</tr>
<tr>
<td></td>
<td>43.1±2.3</td>
<td>3.4±0.8</td>
<td>2.71±0.49</td>
<td>1.0±0.3</td>
<td>Shiitake</td>
</tr>
<tr>
<td>Drying</td>
<td>43.8±3.6</td>
<td>3.6±0.7</td>
<td>2.87±0.22</td>
<td>1.1±0.3</td>
<td>Oyster</td>
</tr>
<tr>
<td></td>
<td>48.5±8.3</td>
<td>1.9±0.8</td>
<td>3.60±0.32</td>
<td>0.6±0.4</td>
<td>Enoki</td>
</tr>
<tr>
<td></td>
<td>37.0±3.6</td>
<td>2.1±0.4</td>
<td>2.78±0.42</td>
<td>0.5±0.2</td>
<td>Shiitake</td>
</tr>
</tbody>
</table>

where: \( \sigma_T \) – tensile strength, \( \varepsilon_T \) – elongation at break, \( E \) – Young’s modulus, \( U_r \) – toughness or resilience.
Fig. 2. Stress-strain curves of chitin paper from: a) oyster, b) enoki, c) shiitake mushrooms

Fig. 3. Stress-strain curves of fungal chitin paper for different pre-treatments: a) no treatment, b) frozen, c) dried

Mechanical properties of chitin paper

The mechanical properties of chitin paper from oyster, enoki and shiitake mushrooms with different pre-treatment processes are presented in Table 1. The overall data shows that the papers from each kind of mushrooms...
without any pre-treatment process exhibit the best results compared to that of frozen and dried mushrooms.

For a better illustration of these comparisons, the Fig. 2 illustrates the stress-strain plots of chitin papers from oyster, enoki and shiitake mushrooms with three different pre-treatment. For oyster mushrooms, the tensile strength for the sample without treatment showed an increase of 6% and 10% compared to frozen and dried samples, respectively. But, for the toughness, the sample from frozen oyster mushrooms exhibited the highest result. As for both enoki and shiitake, the tensile strength of the samples without treatment increased almost half the value of the dried samples. Thus, it is plausible to say that the samples without pre-treatment enhance the tensile strength while the process of freezing enhances the toughness of the extracted chitin, but reduces the modulus.

The formation of ice crystal during the freezing process is related to water distribution in food materials [28]. This ice crystal causes damage to cell structure due to a change of cytoplasm water which leads to a reduction of cell stiffness. Hence, the mechanical properties change [29]. This might be the reason why the modulus of frozen samples for all mushrooms decreases. On the other hand, the mechanical properties of dried samples might be affected by the hornification effect. During the drying process of mushrooms, the fiber structure stiffens and the fiber volume shrinks which causes structural changes [30]. Both freezing and drying cause structural changes that affect the mechanical properties. We also presume that using fresh mushrooms does not modify their chitin structure. Thus, gives a better tensile strength.

Fig. 3 compares the mechanical performance of fungal chitin paper for different pre-treatments. For all pre-treatments, the chitin paper from enoki recorded the highest values for tensile strength and modulus. This possibly was caused by the long structure of chitin in enoki mushroom. Since chitin is located in the cell wall of mushrooms [15], therefore, the longer structure of enoki can preserve the initial structure of chitin. A longer fiber was proven to improve the mechanical properties of a material [31]. However, in terms of toughness, the samples from oyster mushrooms for all treatments demonstrated the highest result. This result is probably because the chitin fiber in oyster mushrooms is relatively smaller compared to that of enoki and shiitake mushrooms. Thus, it can form a denser fibrous network that can sustain higher external loading.

CONCLUSIONS

Oyster, enoki and shiitake mushrooms were used as the source of fungal chitin. The mushrooms were subjected to three different treatments before being extracted using a mild alkaline process and the extracted chitin was used to fabricate chitin paper. Chitin papers from all fresh mushrooms have the best tensile strength, which might be due to the absence of chitin structure modification. The reduction of tensile properties in frozen and drying samples was probably caused by the ice crystal formation and hornification effect, respectively. Among oyster, enoki and shiitake mushrooms, the samples from enoki mushroom give the best tensile strength, possibly due to the longer chitin structure in that mushroom. However, in terms of toughness, oyster mushroom gives the best result probably due to relatively smaller chitin fiber. The results suggested that for further commercialization purposes, using frozen mushrooms to prevent spoilage will not cause big differences in the mechanical properties compared to the fresh mushrooms. This study also opens the possibility of fungal chitin from mushrooms to be used as a reinforcement in the bio-based composite manufacturing process. For the application where tensile strength is important, we suggest to use enoki mushroom without treatment. While for better toughness application, the frozen oyster mushroom is suggested.

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